

FORM 10A - MATERIAL CHANGE REPORT



Pursuant to section 64(1)(a) of the Securities Act, 2012

Request ID 6313

Feb 07, 2023, 10:21 am

1. REPORTING ISSUER INFORMATION

| | | |
|------------------|-----|--|
| Name | 1.1 | Trinidad and Tobago Unit Trust Corporation |
| Street Address 1 | 1.2 | UTC Financial Centre |
| Street Address 2 | 1.3 | 82 Independence Square |
| City | 1.4 | Port of Spain |
| Province/State | 1.5 | |
| Postal/Zip | 1.6 | |
| Country | 1.7 | Trinidad and Tobago |

2. DATE OF MATERIAL CHANGE

| | | |
|------|-----|------------|
| Date | 2.1 | 17-03-2023 |
|------|-----|------------|

3. TYPE OF MATERIAL CHANGE

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|-------------------------|-----|---|
| Type of Material Change | 3.1 | Changes to the board of directors or any other senior officer as defined in Section 4 (1) of the Securities Act, 2012 |
|-------------------------|-----|---|

4. DESCRIPTION OF MATERIAL CHANGE

| | | |
|--------------------------------|-----|---|
| Description of Material Change | 4.1 | The Association of Trinidad and Tobago Insurance Companies renewed the appointment of Mr. Chip Sa Gomes as its appointee to the Board of the Trinidad and Tobago Unit Trust Corporation for a further period of four years with effect from March 17, 2023, pursuant to section 7(1) (f), 4 & 6 of the Unit Trust Corporation Act, Chapter 83:03. |
| Attachment | 4.2 | |

5. DETAILS OF PUBLICATION OF MATERIAL CHANGE

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| Will you be seeking an exemption from publishing a notice in accordance with section 64(2) of the Securities Act 2012? | 5.1 | No |
| Date of Publication of Notice | 5.1.2 | 09-02-2023 |

6. DETAILS OF SENIOR OFFICER

| | | |
|--------------------------|-----|---|
| Name of Senior Officer | 6.1 | Seepersad, Dawn - 19630509079 |
| Position in Organization | 6.2 | General Counsel and Corporate Secretary |
| Email Address | 6.3 | DSeepersad@ttutc.com |
| Business Phone | 6.4 | 18686248648 |

7. DATE, CERTIFICATION AND SIGNATURE

I hereby certify that the statement and information contained in this form and any attachment hereto are true and correct to the best of my knowledge and belief and submitted in compliance with the provisions of the Securities Act, 2012. I understand that any misrepresentation, falsification or material omission of information on this application may result in a breach of the Securities Act, 2012.

Certifying Officer

Dawn Seepersad

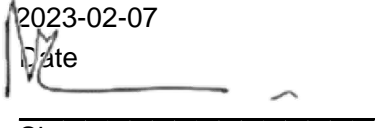
Print Name

General Counsel & Corporate Secretary

Position

2023-02-07

Date



Signature

By affixing a signature to this filing, the respective senior officer certifies the content of this filing.

Download a completed copy of this form (Form 10A) for date, certification and signature by a Senior Officer of the company, sign same and attach the signed form to this filing.

Attachments

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| Upload a signed copy of the Form 10A with the completed Date, Certification and Signature Section. * |
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| Additional Files |
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