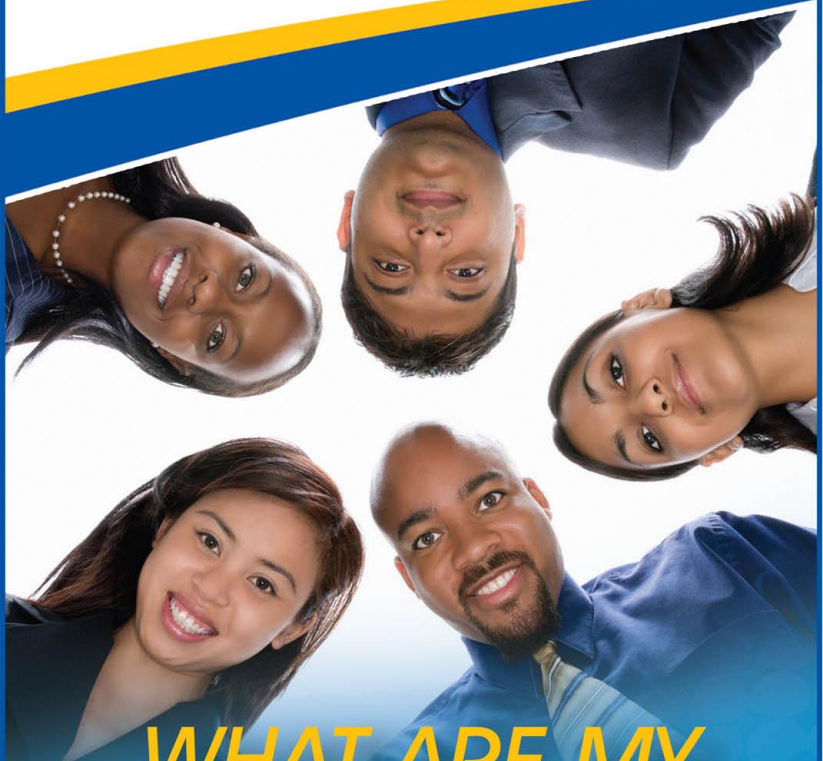




INVESTOR TIPS



WHAT ARE MY INVESTOR RIGHTS?

- Your most important rights are the right to information, your right to choose and your right to redress.
- This means that you should receive truthful information about the investments that are being offered to you. You should also get information about a firm's history and expertise in the securities business, as well as up to date financial information.
- You should be given investment advice and provided with choices that are consistent with your personal goals and tolerance for risk.
- Your advisors should also outline for you the complaint process in which you must engage, if you are to seek redress.

REMEMBER that your investment losing money due to prevailing market conditions is **NOT** a legitimate basis for a complaint since with all investments there is an inherent risk!

Trinidad and Tobago Securities and Exchange Commission

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