



PUBLIC NOTICE

The public is hereby advised that only persons and entities registered by the Trinidad and Tobago Securities and Exchange Commission (“the Commission”) under the Securities Industry Act 1995 (“the Act”) are permitted to deal in securities and/or offer investment advice in Trinidad and Tobago.

Persons and/or entities that conduct registrable business without the necessary registration are subject to sanction by the Commission for breach of the Act.

If you are approached by individuals and/or entities for the purpose of dealing in securities or that offer investment advice, please confirm whether or not they are registered by the Commission. Members of the public are strongly advised that there are inherent risks involved in conducting business with unregistered individuals or entities.

Always request evidence of registration from persons who offer to sell you securities or who offer you investment advice. You may also enquire from the Commission directly by:

- Contacting the Communications Unit of the Commission by telephone at 627-4861 Ext. 1275
- Visiting our website at www.ttsec.org.tt to view our list of registrants