



TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

NOTICE OF HEARING

(Section 134 (1) of the Securities Industry Act, 1995)

TAKE NOTICE that on **Friday 16 April, 2010 at 10:30 a.m.** in the First Floor Conference Room of the Trinidad and Tobago Securities and Exchange Commission (“the Commission”) located at Nos. 57-59 Dundonald Street, Port of Spain, the Commission will hold a Hearing pursuant to section 134 (1) of the Securities Industry Act, 1995 (“the Act”);

AND TAKE FURTHER NOTICE that upon the application of the Trinidad and Tobago Stock Exchange Limited (“TTSE” or “the Stock Exchange”), a self-regulatory organization under section 34 of the Act, the Commission will adjudicate on the proposed rules – TTSE and Horizon Trading Rules (Trading in Trinidad and Tobago Depository Receipts) contained in the Statement of Substance and Purpose dated November 17, 2009 and published in the following newspapers in keeping with section 40 of the Act:-

- Trinidad Guardian – Sunday 24th and Thursday 28th January 2010
- Trinidad Express – Sunday 24th and Wednesday 27th January 2010
- Newsday – Sunday 24th and Thursday 28th January 2010

AND TAKE FURTHER NOTICE that the new rules are in keeping with the intention of the Government of the Republic of Trinidad and Tobago to establish an International Financial Centre and pay greater attention to the development of the local financial market;

AND TAKE FURTHER NOTICE that the Statement of Substance and Purpose and the proposed rules pursuant to the application by the Stock Exchange can be found on the Commission’s website at <http://www.ttsec.org.tt/publications/pub100121.pdf> ;

AND TAKE FURTHER NOTICE that any person entitled to Notice of this Hearing and/or wishing to be heard at the Hearing must attend or be represented by counsel or agent;

AND TAKE FURTHER NOTICE that upon failure of any person to attend at the time and place aforesaid, the Hearing may proceed in the absence of that person and such person is not entitled to any further notice of the Hearing;

Please contact Mrs. Arlene Stephen, Manager- Communications at 624-2991 ext 1275 or arlenes@ttsec.org.tt to indicate whether you will be attending or the name(s) of your representative(s).

Dated this 8th day of April, 2010

**Charles de Silva
(Ag.) General Manager
Trinidad and Tobago Securities and Exchange Commission**