



**TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION**

**In The Matter of a Contravention of  
Section 64 (3) of the Securities Industry Act, 1995  
By Agostini's Limited**

**And**

**In The Matter of A Proposed  
Settlement Agreement Pursuant To  
Part VIII of  
Securities Industry (Hearings And Settlements)  
Practice Rules, 2008 ("the Rules")**

**ORDER OF THE COMMISSION**

Dated this <sup>16<sup>th</sup></sup> day of *August*, 2010

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**WHEREAS** Agostini's Limited ("the Respondent") filed a copy of its Amended Registration Statement with the Trinidad and Tobago Securities and Exchange Commission ("the Commission") on August 10, 2009, past the due date of July 24, 2009, for the year 2008;

**AND WHEREAS** by Notice of Contravention No. S.I. 122 of 2009 dated December 31, 2009 the Commission notified the Respondent that it was in contravention of section 64(3) of the Securities Industry Act, 1995 ("the Act") by

filing a copy of its Amended Registration Statement with the Commission on August 10, 2009, past the due date of July 24, 2009, for the year 2008;

**AND WHEREAS** by letters dated May 15, 2010, June 8, 2010 and June 10, 2010 the Commission and the Respondent entered into settlement discussions to determine whether the matter could be settled;

**AND WHEREAS** on June 15 2010 the Respondent paid the sum of \$5,000.00 to the Commission;

**AND WHEREAS** on June 24, 2010 the Respondent entered into a proposed settlement agreement with the Staff of the Commission which was signed by the duly authorised representative of the Commission for and on behalf of the Commission and the Finance & Admin Director/Secretary of the Respondent for and on behalf of the Respondent (“the Settlement Agreement”), in which the parties agreed to a settlement, subject to the approval of the Settlement Panel;

**AND WHEREAS** the Settlement Panel approved the Settlement Agreement and agreed to submit the Settlement Agreement to the Commission;

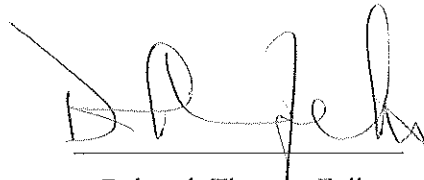
**AND WHEREAS** the Commission has considered the Settlement Agreement and is of the opinion that it is in the public interest to make this Order;

**IT IS HEREBY ORDERED THAT:**

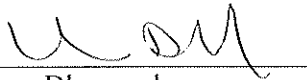
- (a) The procedure outlined under the Securities Industry (Hearings and Settlements) Practice Rules, 2008 has been followed;
- (b) The Respondent has contravened Section 64(3) of the Act and has paid the sum of \$5,000.00 as the fine in this matter; and
- (c) This Order shall be published in the Trinidad and Tobago Gazette, in the daily newspapers and on the Commission’s Website;

- (d) This Order shall be published in the Trinidad and Tobago Gazette from the date the Order is entered; and
- (e) Publication in the daily newspapers shall be deferred for a period of six (6) months following the date on which Order is entered.

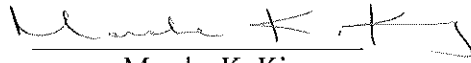
Dated this 16<sup>th</sup> day of August, 2010



Deborah Thomas-Felix  
Chairman



Vishnu Dhanpaul  
Commissioner



Marsha K. King  
Commissioner